



Infection Prevention and Control from a Regulatory Perspective

APIC- DFW

DeAundre' Cherry, MSHS, CHES

Director, Accreditation & Regulatory Affairs

Children's Health

October 20, 2017

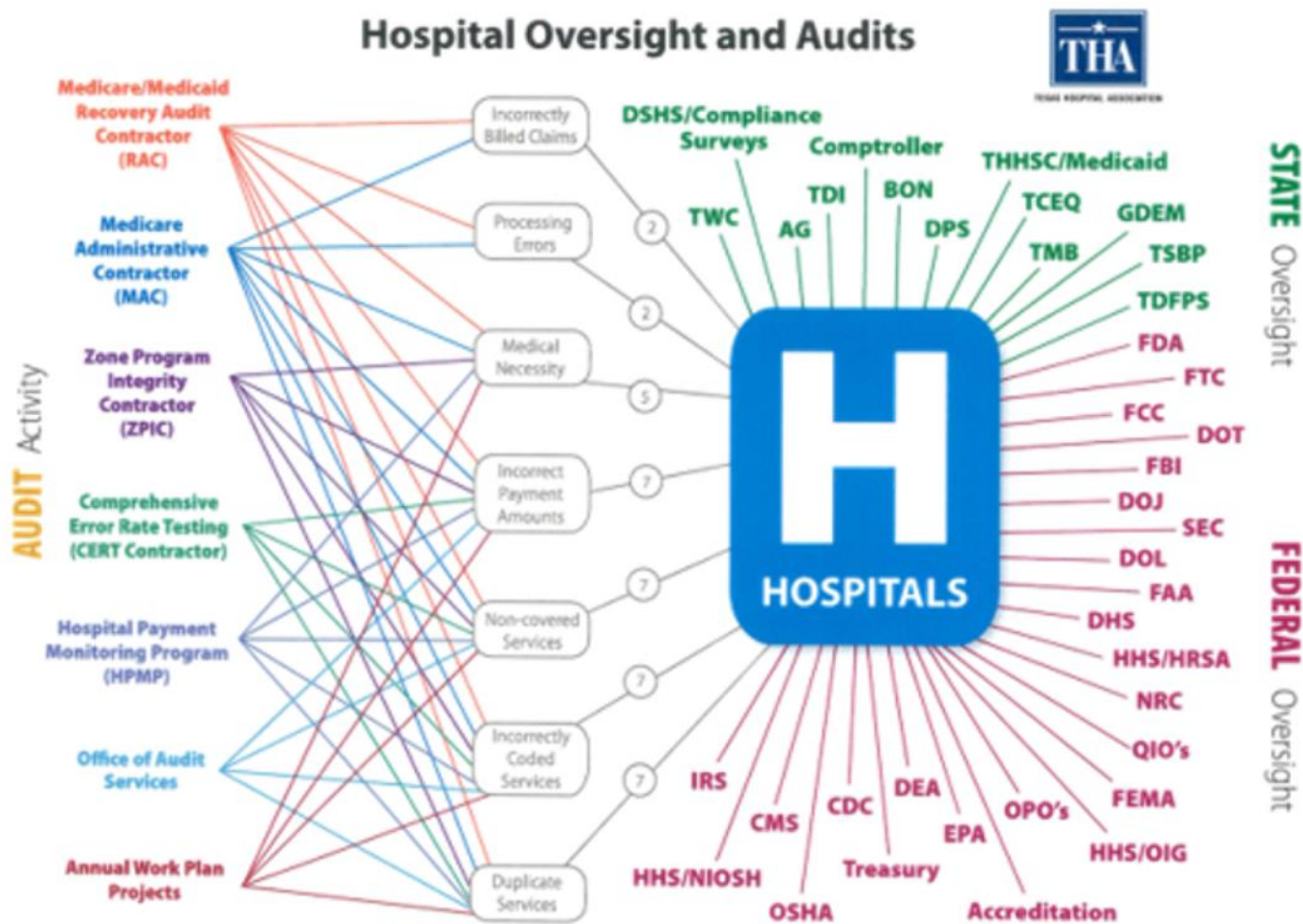
Objectives

- Regulatory and Survey Landscape
- Review of most challenging standards for hospitals and The Joint Commission
- Collaborating with Infection Prevention
- Review and discuss CMS and Joint Commission key focuses
 - Hot Buttons
 - Trending Now
- Practical Tips

Those people...

- <https://www.youtube.com/watch?v=S0wEfdhbHUK>

How can we comply and connect to outcomes?



The Joint Commission

TOP STANDARDS COMPLIANCE DATA FIRST HALF OF 2017

HOSPITALS

86%	LS.02.01.35	The hospital provides and maintains systems for extinguishing fires.
74%	LS.02.01.30	The hospital provides and maintains building features to protect individuals from the hazards of fire and smoke.
73%	EC.02.05.01	The hospital manages risks associated with its utility systems.
70%	IC.02.02.01	The hospital reduces the risk of infections associated with medical equipment, devices, and supplies.
68%	EC.02.06.01	The hospital establishes and maintains a safe, functional environment.
66%	LS.02.01.10	Building and fire protection features are designed and maintained to minimize the effects of fire, smoke, and heat.
62%	EC.02.02.01	The hospital manages risks related to hazardous materials and waste.
60%	LS.02.01.20	The hospital maintains the integrity of the means of egress.

Transcending Interpretation

- Standards and regulations are written to interpretation
- The Joint Commission
 - Elements of Performance is the **how**
- Centers for Medicare & Medicaid (CMS)
 - Interpretive Guidelines is the **what**
- **Frequently Asked Questions (FAQs) serve as a guide for implementation**

Applying Practicality

- Risk Assessment/Gap Analysis
- Proactive Risk Assessments
 - Proposed standards or COPs
 - Non-compliant trends
- Patient and Process Tracers
 - Conversational approach NOT driven by standards
- Integration with existing processes
 - Constant rounding
 - Safety walkthroughs
 - Etc...



Accreditation

Occupational Health

Environmental Services

Educators

Staff

Facilities

Clinical Services

Medical Staff

Nutrition Services



CMS and Joint Commission Infection Control Focus Areas

The Joint Commission's
Survey Analysis for
Evaluating Risk
(SAFER™) Matrix™

Likelihood to Harm a Patient/Staff/Visitor

HIGH
MODERATE
LOW

<i>Immediate Threat to Life</i> (a threat that represents immediate risk or may potentially have serious adverse effects on the health of the patient, resident, or individual served)			
HIGH			
MODERATE			
LOW			
	LIMITED	PATTERN	WIDESPREAD

Scope

High Level Disinfection (HLD)

- How do you determine who's performing HLD in your hospital?
 - Supply chain orders
 - Service lines include: Anesthesia, GI, ENT, etc.
- Point of use cleaning
- Standardization of HLD processes
- Transporting of dirty scopes
- Monitoring of processes
- Documentation
- IFUs



Sterilization

- How do you determine who's sterilizing instruments in your hospital?
 - Supply chain orders
 - Departments include: OR, Emergency Room, Ambulatory Clinics, etc.
- Sterilized instruments versus one-time-use
- Storage of instruments
 - Peel packs
 - Compromised packaging
- Use of enzymatic spray at point of use
- Transporting of dirty instruments
- Sterilization processes in SPD
- Immediate Use Steam Sterilization (IUSS)

High-Level Disinfection (HLD) and Sterilization BoosterPak



Onboarding Education and Competencies

- New staff that perform these tasks must be educated
- Your organization must determine the frequency of the comps
- Encourage IPC team to review education and comps
- All HLD and sterilization findings during survey is under Infection Control

Operating Rooms

- Appropriate Use of Surgical Attire
 - Bonnets, masks, gloves worn in designated areas
 - Use of skull caps
- Dangling hair, beards/mustaches
- Earrings in nares or large earrings in ears
- Hand hygiene
- Air Flow
 - Critical versus non-critical areas
- Bronchoscopies performed in positive pressure rooms

Inpatient and Ambulatory Areas

- Appropriate use of PPE
- Hand hygiene
- Documentation of isolation precautions
- Understanding of contact wet time of cleaning products used
- Staff's ability to speak to IPC processes

Kitchens

- Hand washing techniques
- Use of gloves
- Expired Foods
- Temperatures of cold and hot foods
 - (refrigerator logs)
- Storage of wet dishes, pots/pans
- Dishwasher temperature logs
 - Processes for out of range temps or issues



Construction and Renovation

- Appropriate barricades/barriers
 - Dust control
- Particle counts are being completed
- Water cultures, when applicable



Practical Tips for IPC Professional

Tips

- Encourage IPC team members to be a part of the Environment of Care team
- Create a baseline
 - Scoring your compliance with the top vulnerabilities
- Collaborate with Accreditation and others within your organization
- Trace – Trace - Trace

A Little Humor Before I go....

